

含有 3 个奇异临界方程的椭圆方程组的显式基态解

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摘要 研究了一类包含 3 个奇异临界方程和带有强耦合 Hardy 项的椭圆方程组. 利用变分法, 研究了相关 Sobolev 最佳常数的达到函数对, 首次发现了椭圆方程组的一类显式基态解.

关键词 临界椭圆方程组; 基态解; 最佳 Sobolev 常数; Hardy 不等式

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Explicit ground state solutions to elliptic systems involving three singular critical equations

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Abstract In this paper, an elliptic system is studied, which involves three singular critical equations and strongly-coupled Hardy terms. By variational methods, the minimizers of extremal functions to the related best Sobolev constant are studied and an explicit form of ground state solutions to the elliptic system is found for the first time.

Keywords critical elliptic system; ground state solution; the best Sobolev constant; Hardy inequality

1 相关知识

本文主要研究下列奇异临界椭圆方程组:

$$\begin{cases} -\Delta u - \frac{\lambda_{1,1}u + \lambda_{1,2}v + \lambda_{1,3}w}{|x|^2} = \\ u^{2^*-1} + \frac{\eta\alpha}{2^*}u^{\alpha-1}v^\beta, \\ -\Delta v - \frac{\lambda_{2,1}u + \lambda_{2,2}v + \lambda_{2,3}w}{|x|^2} = \\ v^{2^*-1} + \frac{\eta\beta}{2^*}u^\alpha v^{\beta-1}, \\ -\Delta w - \frac{\lambda_{3,1}u + \lambda_{3,2}v + \lambda_{3,3}w}{|x|^2} = w^{2^*-1}, \end{cases} \quad (1)$$

其中 $\mu, \nu, \rho \in D^{1,2}(\mathbb{R}^N)$, $\mu, \nu, \rho > 0$, $x \in \mathbb{R}^N \setminus \{0\}$,

$D^{1,2}(\mathbb{R}^N)$ 是 $C_0^\infty(\mathbb{R}^N)$ 关于范数 $(\int_{\mathbb{R}^N} |\nabla \cdot|^2)^{1/2}$ 的

完备化空间, 并且参数满足下列条件:

$$(H_1) \quad N \geq 3, \alpha > 1, \beta > 1, \alpha + \beta = 2^*, \eta > 0,$$

$$\lambda_{i,j} = \lambda_{j,i} > 0, \rho < \lambda_{3,3} \leq \lambda_{2,2} \leq \lambda_{1,1} < \bar{\mu}, 1 \leq i, j \leq 3$$

矩阵 $(\lambda_{i,j})_{3 \times 3}$ 是一个正定矩阵, 并且它的特征值

都属于 $(0, \bar{\mu})$.

这里 $2^* := \frac{2N}{N-2}$ 是 Sobolev 临界指数, $\bar{\mu} :=$

$(\frac{N-2}{2})^2$ 是如下 Hardy 不等式中的最佳 Hardy

常数^[1]:

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$$\int_{\mathbb{R}^N} \frac{u^2}{|x|^2} dx \leq \frac{1}{\mu} \int_{\mathbb{R}^N} |\nabla u|^2 dx, \forall u \in D^{1,2}(\mathbb{R}^N).$$

在上述 (H₁) 成立的条件下, 矩阵 $(\lambda_{ij})_{3 \times 3}$ 是正定的, 并且对所有的 $(u, v, w) \in (D^{1,2}(\mathbb{R}^N))^3$ 都有 $0 < \gamma_1 < \gamma_2 < \bar{\mu}$, 同时下列结果成立:

$$\gamma_1(u^2 + v^2 + w^2) \leq Q(u, v, w) \leq \gamma_2(u^2 + v^2 + w^2), \quad (2)$$

其中 γ_1, γ_2 分别是矩阵 $(\lambda_{ij})_{3 \times 3}$ 的最小特征值和最大特征值, $Q(u, v, w)$ 是一个二次型, 定义如下:

$$Q(u, v, w) := (u, v, w) (\lambda_{ij})_{3 \times 3} (u, v, w)^T, \quad \forall (u, v, w) \in (D^{1,2}(\mathbb{R}^N))^3.$$

根据 Hardy, Sobolev 和 Young 不等式, 我们可以定义如下最佳 Sobolev 常数^[2,3]:

$$S(\mu) := \inf_{u \in D^{1,2}(\mathbb{R}^N) \setminus \{0\}} \frac{\int_{\mathbb{R}^N} (|\nabla u|^2 - \mu \frac{u^2}{|x|^2}) dx}{(\int_{\mathbb{R}^N} |u|^{2^*} dx)^{\frac{2}{2^*}}}, \quad \mu < \bar{\mu}. \quad (3)$$

$$S^* := \inf_{(u, v, w) \in \mathcal{D}} \left[\int_{\mathbb{R}^N} (|\nabla u|^2 + |\nabla v|^2 + |\nabla w|^2 - \frac{Q(u, v, w)}{|x|^2}) dx \right] / \left(\int_{\mathbb{R}^N} F(u, v, w) dx \right)^{\frac{2}{2^*}}, \quad (4)$$

其中 $\mathcal{D} = (D^{1,2}(\mathbb{R}^N))^3 \setminus \{(0, 0, 0)\}$, $F(u, v, w)$ 我们定义如下:

$$F(u, v, w) := |u|^{2^*} + |v|^{2^*} + |w|^{2^*} + \eta |u|^\alpha |v|^\beta.$$

近年来, 许多学者研究和讨论了涉及到 Hardy 不等式的临界椭圆方程 (参见文献 [2-8]) 和临界椭圆方程组 (参见文献 [9-15]), 并且取得了很多成果. 在文献 [3] 中, Terracini 证明了最佳常数 $S(\mu)$ 的达到函数为:

$$V_\mu^\varepsilon(x) := \varepsilon^{\frac{2-N}{2}} U_\mu(\varepsilon^{-1}x), \quad \forall \varepsilon > 0, \mu \in [0, \bar{\mu}), \quad (5)$$

其中 $U_\mu(x)$ 是如下径向对称函数:

$$U_\mu(x) := \left(\frac{2N(\bar{\mu} - \mu)}{\sqrt{\mu}} \right)^{\frac{\sqrt{\mu}}{2}} \left(|x| \frac{\sqrt{\mu} - \sqrt{\mu - \mu}}{\sqrt{\mu}} + |x| \frac{\sqrt{\mu} + \sqrt{\mu - \mu}}{\sqrt{\mu}} \right) - \sqrt{\mu}.$$

通过对这些涉及 Hardy 不等式的临界椭圆方程和方程组的研究, 很多重要问题都得到了解决.

对任意的 $(u, v, w) \in (D^{1,2}(\mathbb{R}^N))^3$, 方程组 (1) 对应的能量泛函 $J(u, v, w)$ 如下:

$$J(u, v, w) := \frac{1}{2} \int_{\mathbb{R}^N} E(u, v, w) dx -$$

$$\frac{1}{2^*} \int_{\mathbb{R}^N} F(u, v, w) dx,$$

其中 $J \in C^1((D^{1,2}(\mathbb{R}^N))^3, \mathbb{R})$, $E(u, v, w)$ 定义如下:

$$E(u, v, w) := |\nabla u|^2 + |\nabla v|^2 + |\nabla w|^2 - \frac{Q(u, v, w)}{|x|^2}.$$

$(u, v, w) \in (D^{1,2}(\mathbb{R}^N))^3$ 是方程组 (1) 的一个解, 如果 $(u, v, w) > 0$, 并且满足:

$$\langle J'(u, v, w), (\varphi, \phi, \psi) \rangle = 0, \quad \forall (\varphi, \phi, \psi) \in (D^{1,2}(\mathbb{R}^N))^3,$$

其中 $J'(u, v, w)$ 是 J 在 (u, v, w) 的 Fréchet 导数.

(u_0, v_0, w_0) 是方程组 (1) 的一个基态解, 如果 (u_0, v_0, w_0) 是方程组 (1) 的一个解, 并且在方程组 (1) 的所有解中取得 J 的最小能量值, 即满足:

$$J(u_0, v_0, w_0) \leq J(u, v, w),$$

其中 (u, v, w) 是方程组 (1) 的任意一个解.

在本文中, 主要研究方程组 (1) 的显式基态解和对应的最佳 Sobolev 常数的达到函数对. 如果在 $\mathbb{R}^N \setminus \{0\}$ 中有 $(u, v, w) > 0$, 则称 (u, v, w) 是正的. 设 γ_2 是矩阵 $(\lambda_{ij})_{3 \times 3}$ 的最大特征值, 作如下定义:

$$f(s, t) := \min_{s, t \geq 0} f(s, t),$$

$$f(s, t) := \frac{1 + s^2 + t^2}{(1 + s^{2^*} + t^{2^*} + \eta s^\beta)^{\frac{2}{2^*}}}, \quad s, t \geq 0, \quad (6)$$

$$\mu_* := [\lambda_{1,1} + 2\lambda_{1,2}s_{\min} + 2\lambda_{1,3}t_{\min} + 2\lambda_{2,3}s_{\min}t_{\min} + \lambda_{2,2}s_{\min}^2 + \lambda_{3,3}t_{\min}^2] / (1 + s_{\min}^2 + t_{\min}^2),$$

其中 (s_{\min}, t_{\min}) 是函数 $f(s, t)$, $s, t \geq 0$ 的最小值点.

本文的主要结果归纳为如下定理.

定理 1 假设条件 (H₁) 成立, $V_\mu^\varepsilon(x)$ 是 (5) 式中定义的 $S(\mu)$ 的达到函数, 并且 $\mu_* = \gamma_2$, 则有:

$$S^* = f(s_{\min}, t_{\min}) S(\mu_*) = f(s_{\min}, t_{\min}) S(\gamma_2),$$

最佳 Sobolev 常数 S^* 有径向对称并且严格递减的达到函数对, 形式如下:

$$\{C(V_{\gamma_2}^\varepsilon, s_{\min} V_{\gamma_2}^\varepsilon, t_{\min} V_{\gamma_2}^\varepsilon) \mid C, \varepsilon > 0\},$$

其中有一个函数对是方程组 (1) 的显式基态解.

在本文中, 为了书写方便我们用 C 来表示常数, 有时也会省略积分式中的 dx .

2 主要结果的证明

定理 1 的证明 假设 (H₁) 成立. 发现函数 $f(s, t)$ 在原点和无穷远点极限存在并且都为 1. 经过计算

发现,最小值 $\min_{s,t \geq 0} f(s,t)$ 存在并且最小值点 $(s_{\min}, t_{\min}) \neq (0,0)$. 进一步地,我们有如下结论:

$$f'_s(s_{\min}, t_{\min}) = f'_t(s_{\min}, t_{\min}) = 0, \\ 0 < f(s_{\min}, t_{\min}) < 1.$$

对任意的 $w \in D^{1,2}(\mathbb{R}^N) \setminus \{0\}$ 利用函数对 $(w, s_{\min}w, t_{\min}w)$ 在 (4) 式中检验 Rayleigh 商,可以得到:

$$S^* \leq \frac{\int_{\mathbb{R}^N} (|\nabla w|^2 + |s_{\min} \nabla w|^2 + |t_{\min} \nabla w|^2 - \frac{Q(w, s_{\min}w, t_{\min}w)}{|x|^2})}{\left(\int_{\mathbb{R}^N} F(w, s_{\min}w, t_{\min}w)\right)^{\frac{2}{2^*}}} \\ = f(s_{\min}, t_{\min}) \frac{\int_{\mathbb{R}^N} (|\nabla w|^2 - \mu_* \frac{w^2}{|x|^2})}{\left(\int_{\mathbb{R}^N} |w|^{2^*}\right)^{\frac{2}{2^*}}},$$

结合 (3) 式,可以得到:

$$S^* \leq f(s_{\min}, t_{\min}) S(\mu_*). \quad (7)$$

设 $\{(u_n, v_n, w_n)\} \subset \mathcal{D}$ 是 S^* 的极小化序列,并且定义 $z_n = s_n v_n, y_n = t_n w_n$ 其中:

$$s_n = \left(\left(\int_{\mathbb{R}^N} |v_n|^{2^*} \right)^{-1} \int_{\mathbb{R}^N} |u_n|^{2^*} \right)^{\frac{1}{2^*}}, \\ t_n = \left(\left(\int_{\mathbb{R}^N} |w_n|^{2^*} \right)^{-1} \int_{\mathbb{R}^N} |u_n|^{2^*} \right)^{\frac{1}{2^*}},$$

由此可以得到:

$$\int_{\mathbb{R}^N} |z_n|^{2^*} = \int_{\mathbb{R}^N} |y_n|^{2^*} = \int_{\mathbb{R}^N} |u_n|^{2^*}, \quad (8)$$

由 Young 不等式和 (8) 式,可以得到:

$$\int_{\mathbb{R}^N} |u_n|^\alpha |z_n|^\beta \leq \frac{\alpha}{2^*} \int_{\mathbb{R}^N} |u_n|^{2^*} + \frac{\beta}{2^*} \int_{\mathbb{R}^N} |z_n|^{2^*} = \int_{\mathbb{R}^N} |u_n|^{2^*}, \quad (9)$$

又由 (2) (3) (8) 和 (9) 式可得:

$$\frac{\int_{\mathbb{R}^N} (|\nabla u_n|^2 + |\nabla v_n|^2 + |\nabla w_n|^2 - \frac{Q(u_n, v_n, w_n)}{|x|^2})}{\left(\int_{\mathbb{R}^N} F(u_n, v_n, w_n)\right)^{\frac{2}{2^*}}} \geq \frac{\int_{\mathbb{R}^N} (|\nabla u_n|^2 + |\nabla v_n|^2 + |\nabla w_n|^2 - \frac{\gamma_2(u_n^2 + v_n^2 + w_n^2)}{|x|^2})}{\left(F(1, s_n^{-1}, t_n^{-1}) \int_{\mathbb{R}^N} |u_n|^{2^*}\right)^{\frac{2}{2^*}}} \\ \geq \frac{\int_{\mathbb{R}^N} (|\nabla u_n|^2 - \gamma_2 \frac{u_n^2}{|x|^2})}{\left(F(1, s_n^{-1}, t_n^{-1}) \int_{\mathbb{R}^N} |u_n|^{2^*}\right)^{\frac{2}{2^*}}} +$$

$$\frac{s_n^{-2} \int_{\mathbb{R}^N} (|\nabla z_n|^2 - \gamma_2 \frac{z_n^2}{|x|^2})}{\left(F(1, s_n^{-1}, t_n^{-1}) \int_{\mathbb{R}^N} |z_n|^{2^*}\right)^{\frac{2}{2^*}}} + \frac{t_n^{-2} \int_{\mathbb{R}^N} (|\nabla y_n|^2 - \gamma_2 \frac{y_n^2}{|x|^2})}{\left(F(1, s_n^{-1}, t_n^{-1}) \int_{\mathbb{R}^N} |y_n|^{2^*}\right)^{\frac{2}{2^*}}} \geq f(s_n^{-1}, t_n^{-1}) S(\gamma_2) \geq f(s_{\min}, t_{\min}) S(\gamma_2),$$

当 $n \rightarrow \infty$ 时,可以得到:

$$S^* \geq f(s_{\min}, t_{\min}) S(\gamma_2). \quad (10)$$

假设 $\mu_* = \gamma_2$,由 (7) 式和 (10) 式可以得到:

$$S^* = f(s_{\min}, t_{\min}) S(\gamma_2),$$

由此可以得到 S^* 有如下形式的达到函数对:

$$\{C(V_{\gamma_2}^\varepsilon(x), s_{\min}V_{\gamma_2}^\varepsilon(x), t_{\min}V_{\gamma_2}^\varepsilon(x)) | C, \varepsilon > 0\},$$

因为 $f'_s(s_{\min}, t_{\min}) = f'_t(s_{\min}, t_{\min}) = 0$ 通过直接计算,可以得到存在 $C^* > 0$,使得方程组 (1) 有如下形式的显示基态解:

$$C^*(V_{\gamma_2}^\varepsilon(x), s_{\min}V_{\gamma_2}^\varepsilon(x), t_{\min}V_{\gamma_2}^\varepsilon(x)) | \varepsilon > 0,$$

也就是说 $(u_\varepsilon, v_\varepsilon, w_\varepsilon) := C^*(V_{\gamma_2}^\varepsilon, s_{\min}V_{\gamma_2}^\varepsilon, t_{\min}V_{\gamma_2}^\varepsilon)$ 是方程组 (1) 的一个解,并且满足:

$$\int_{\mathbb{R}^N} E(u_\varepsilon, v_\varepsilon, w_\varepsilon) = \int_{\mathbb{R}^N} F(u_\varepsilon, v_\varepsilon, w_\varepsilon) =$$

$$(f(s_{\min}, t_{\min}) S(\gamma_2))^{\frac{N}{2}} = (S^*)^{\frac{N}{2}},$$

基态解 $(u_\varepsilon, v_\varepsilon, w_\varepsilon)$ 对应的极小能量值为:

$$J(u_\varepsilon, v_\varepsilon, w_\varepsilon) = \frac{1}{2} \int_{\mathbb{R}^N} E(u_\varepsilon, v_\varepsilon, w_\varepsilon) - \frac{1}{2^*} \int_{\mathbb{R}^N} F(u_\varepsilon, v_\varepsilon,$$

$$w_\varepsilon) = \frac{1}{N} (S^*)^{\frac{N}{2}}.$$

定理 1 证明完毕.

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